

This brochure supplement provides information about Yuha Elsa Tse that supplements the TransGlobal Advisory LLC brochure. You should have received a copy of that brochure. Please contact Yuha Elsa Tse if you did not receive TransGlobal Advisory LLC's brochure or if you have any questions about the contents of this supplement.

Yuha Elsa Tse is not licensed to act as an investment adviser representative in the State of New York.

Additional information about Yuha Elsa Tse is also available on the SEC's website at www.adviserinfo.sec.gov.

TransGlobal Advisory LLC

Form ADV Part 2B – Individual Disclosure Brochure

for

Yuha Elsa Tse

Personal CRD Number: 5043069

Investment Adviser Representative

TransGlobal Advisory LLC
185 W Chestnut Ave
Monrovia, CA 91016
(626) 226-0867
tse.elsa@transglobaladvisory.com

UPDATED: 12/04/2023

Item 2: Educational Background and Business Experience

Name: Yuha Elsa Tse **Born:** 1980

Educational Background and Professional Designations:

Education:

Bachelor Degree Business Administration, American InterContinental University
- 2012

Business Background:

11/2023 - Present	Financial Consultant Globalink Securities, Inc.
11/2023 - Present	Investment Adviser Representative TransGlobal Advisory LLC
06/2023 - Present	Insurance Agent Transpacific Financial Inc
08/2015 - 01/2023	Financial Consultant Charles Schwab
11/2012 - 08/2015	Premier Relationship Manager HSBC Bank

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

Item 4: Other Business Activities

Yuha Elsa Tse is a licensed insurance agent. This activity creates a conflict of interest since there is an incentive to recommend insurance products based on commissions or other benefits

received from the insurance company, rather than on the client's needs. Additionally, the offer and sale of insurance products by supervised persons of TransGlobal Advisory LLC are not made in their capacity as a fiduciary, and products are limited to only those offered by certain insurance providers. TransGlobal Advisory LLC, addresses this conflict of interest by requiring its supervised persons to act in the best interest of the client at all times, including when acting as an insurance agent. TransGlobal Advisory LLC periodically reviews recommendations by its supervised persons to assess whether they are based on an objective evaluation of each client's risk profile and investment objectives rather than on the receipt of any commissions or other benefits. TransGlobal Advisory LLC will disclose in advance how it or its supervised persons are compensated and will disclose conflicts of interest involving any advice or service provided. At no time will there be tying between business practices and/or services (a condition where a client or prospective client would be required to accept one product or service conditioned upon the selection of a second, distinctive tied product or service). No client is ever under any obligation to purchase any insurance product. Insurance products recommended by TransGlobal Advisory LLC's supervised persons may also be available from other providers on more favorable terms, and clients can purchase insurance products recommended through other unaffiliated insurance agencies.

Yuha Elsa Tse is the landlord of property in 511 E Live Oak Ave Unit 7, Arcadia, CA 91006.

Yuha Elsa Tse works at TransGlobal Advisory, LLC as Investment Advisor Representative.

Yuha Elsa Tse is the president of the PT Trading Company.

Yuha Elsa Tse works at Globalink Securities, Inc. as Financial Consultant.

Item 5: Additional Compensation

Yuha Elsa Tse does not receive any economic benefit from any person, company, or organization, other than TransGlobal Advisory LLC in exchange for providing clients advisory services through TransGlobal Advisory LLC.

Item 6: Supervision

As a representative of TransGlobal Advisory LLC, Yuha Elsa Tse is supervised by Yong Wang, the firm's Chief Compliance Officer. Yong Wang is responsible for ensuring that Yuha Elsa Tse adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Yong Wang is (626) 447-7888.

Item 7: Requirements For State Registered Advisers

This disclosure is required by state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.

A. Yuha Elsa Tse has NOT been involved in any of the events listed below.

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.
2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.

B. Yuha Elsa Tse has NOT been the subject of a bankruptcy.